SHIVALIK SMALL FINANCE BANK

CIF-IND

CUSTOMER IDENTIFICATION FORM FOR RESIDENT INDIVIDUALS (For New Customers only)

		Sepa	rate f	orm to	be f	illed up	by l	Each	Pe	rsor	ı/ Joi	int l	Hold	er								
Branch Name :					Cus	t. ID :									1. Tr	an II) <u>.</u>					
					Тур	e of Cu	stome	er						_ .	2 Fi	ntere	d by -					
Date :						Public		Sr. Cit	izen		Minor	-	Staf	f								
CUSTOMER DETAILS																neck	ed by-					
PREFIX	(Plea	se fill th	ne forn	n in BL	оск	LETTE	RS a	nd le	ave	one :	spac	e be	etwee	n wo	rds)							
NAME :							<u> </u>															
MAIDEN NAME : (if any)																						
FATHER'S NAME :																						
MOTHER'S MAIDEN NAME :																						
HUSBAND/GUARDIAN NAME : (if applicable)																						
PRESENT ADDRESS :																						
Use for Mailing																						
	LAND	MARK :																				
	CITY:									S1	ГАТЕ	:										
	PIN:																					
	MOBI	LE										TE	L:									
	E-Mail II	D :			'		•	•						•								
PERMANENT ADDRESS :							T															
Same as above															Ť							
Use for Mailing	LAND	MARK :			Ť																	
	CITY:									S1	ГАТЕ	:			Ť							
	PIN:																					
DANIAHIMBED	(Pleas	se tick the))	UDEE			NOF	2++			NIATI	2014	LITY	,			חבו		DNI.
PAN NUMBER			or	FORM	50	M	NDEF F	Т	Y	NOF			IND	NATIO IAN			ther			KEI	LIGIO	N
AADHAAR NO.				RE	SIDE	NTIAL S	L STATU	JS														
				Res	sident	Individ	ual															
DATE OF BIRTH		ARRIEC	1	D/	TE O	F MAR	RIAG	E				_					ORY_	$\overline{}$				
							Ш.			GE		_	sc _		ST		OBC		THEF	15		
# Senior Citizen, Minor please	provide	proof of	Date	of Birth	* *	If Mino	r , ple	ease 1	ill up	min	or de	eclar	ration	secti	on							
Name																						
als als																	^	cc /	I! -	47	_	
nature s offic																	A		Applio togra		5	
in Sign nust si Bank'																	Sig		art on		to	
ecime																						
Specimen Signature Applicant must sign in the presence of Bank's officials																						

(Please tick the				OCCUPATION (Source of Income)											
	appropriate box)			(Please tick the appropriate box) In case of self employed/other source, please speci											
Upto Prima	ту	Graduate	Professional	Agri/Allied acti	vity Salaried (Pvt	/Pub./Gov.) Pensioner/Retired									
Upto Secon	dary/Higher	Post-graduate	Other	Business/Trade Student Housewife Self-employe											
Please Specify				Other Plea	ase Specify										
L				·											
Proprietor/Partner/[Director/Office bear	er in any firm / Cor	mpany / Trust / Socie	ety / Association	No. Yes. Ple	ase give details									
Employer/Busin	ess(Name & Addre	ss)													
INCOME DETA	ILS														
Annual Income	e (Rs.)														
	(1.0.)														
Annual Turr	nover (Non-Salaried	d persons - Rs. in I	_akhs)		Domestic	Foreign									
	`	•	,			r oroigii									
•	I interest in foriegn		s relation abroad ncial relations and co	No	Yes.										
r lease give	details. Mature/ Typ	C Of Business/final	Total Totalions and co	diffice.											
		<u> </u>													
<u> </u>	House you live in					Assets									
ASSETS OWNED *Optional	i. Owned ii. Rented	i. Car ii. Two Wheele	i. Upto Rs. 1												
ASS OWI 'Opt	iii. Employer's	iii. Other	iii. Upto Rs. 5	iii. Upto Rs. 5 I	ac										
*	iv. Ancestral	iv. None	iv. Above Rs.	. 5 lac iv. Above Rs. 5	i lac										
Please give Credit	Card/Debit Card de	etails, if any, along	with name of issuing	g bank (*Optional) :											
Share Holder of Shi	valik Bank N	o Yes. Mem.	No.	Relative of Shivalik Ban	k's Director No	Yes Director Name									
Dealing with o	ther Bank/FI/Bra	anch of SSFB if	any: No Yes		ve following details. Att	ach separate sheet if required									
S Nan	ne of Bank/FI/	Type of A/c	Facility	Loan/Limit Availed	Balance	Remarks									
S Nan No. Branch					1										
S Nan No. Branch	ne of Bank/FI/		Facility	Loan/Limit Availed	Balance	Remarks									
S Nan No. Branch	ne of Bank/FI/		Facility	Loan/Limit Availed	Balance	Remarks									
S Nan Branch 1. 2. 3.	ne of Bank/FI/ of Shivalik Bank		Facility	Loan/Limit Availed	Balance	Remarks									
S Nan Branch 1. 2. 3.	ne of Bank/FI/ of Shivalik Bank	Type of A/c	Facility Availed	Loan/Limit Availed Amt. Date Since	Balance Limit (As on) for verification.	Remarks (Asset clarification)									
S Nan Branch 1. 2. 3. IDENTIFICATIO (Attach self	ne of Bank/FI/ of Shivalik Bank	Type of A/c	Facility Availed	Loan/Limit Availed Amt. Date Since	Balance Limit (As on)	Remarks									
S Nan Branch 1. 2. 3. IDENTIFICATIO (Attach self	of Shivalik Bank ON DETAILS attested copy of or	Type of A/c Type of A/c ne Photo ID and O Voter ID Card	Facility Availed ne Address proof). P	Loan/Limit Availed Amt. Date Since lease produce original Passport M	Balance Limit (As on) for verification. ate of expiry M Y Y	Remarks (Asset clarification) Date of expiry Driving License M M Y Y									
S Nan Branch 1. 2. 3. IDENTIFICATIO (Attach self	ne of Bank/FI/ of Shivalik Bank ON DETAILS attested copy of or	Type of A/c Type of A/c ne Photo ID and O Voter ID Card	Facility Availed	Loan/Limit Availed Amt. Date Since lease produce original Passport M	Balance Limit (As on) for verification.	Remarks (Asset clarification) Date of expiry Driving License MMMYYY iv/Reputed Org									
S Nan Branch 1. 2. 3. IDENTIFICATIO (Attach self Govi	of Shivalik Bank ON DETAILS attested copy of or HAAR Card Defence ID Card of	Type of A/c Type of A/c The Photo ID and O Voter ID Card Type of A/c	Facility Availed ne Address proof). P PAN Card NREGA JOB	Loan/Limit Availed Amt. Date Since lease produce original Passport M Card Photo IE	Balance Limit (As on) for verification. ste of expiry Proof of Post Off./Un (subject to satisfaction)	Remarks (Asset clarification) Date of expiry Driving License M M Y Y iv/Reputed Org on of bank)									
S Nan Branch 1. 2. 3. IDENTIFICATIO (Attach self Government of the self of	of Shivalik Bank ON DETAILS attested copy of or HAAR Card Defence ID Card of	Type of A/c Type of A/c The Photo ID and O Voter ID Card Or PPO Certified	Facility Availed ne Address proof). P PAN Card NREGA JOB	Loan/Limit Availed Amt. Date Since lease produce original Passport M G Card Photo IC Credit C	Balance Limit (As on) for verification. ate of expiry M Y Y O Proof of Post Off./Un (subject to satisfaction)	Remarks (Asset clarification) Date of expiry Driving License M M Y Y iv/Reputed Org on of bank)									
S Nan Branch 1. 2. 3. IDENTIFICATIO (Attach self Government of the self of	of Shivalik Bank ON DETAILS attested copy of or HAAR Card Defence ID Card of Bills @ In Card	Type of A/c Certified Certified	Facility Availed ne Address proof). P PAN Card NREGA JOB Marriage Certification d Birth Certificate	Loan/Limit Availed Amt. Date Since Passport Passport Card Photo ID Form 16	Balance Limit (As on) for verification. ate of expiry Proof of Post Off./Un (subject to satisfaction) ard Statement (within I	Remarks (Asset clarification) Date of expiry Driving License M M Y Y iv/Reputed Org on of bank)									
S Nan Branch 1. 2. 3. IDENTIFICATIO (Attach self Govi	of Shivalik Bank ON DETAILS attested copy of or HAAR Card Defence ID Card of	Type of A/c Certified Certified	Facility Availed ne Address proof). P PAN Card NREGA JOB	Loan/Limit Availed Amt. Date Since Passport Passport Card Photo ID Form 16	Balance Limit (As on) for verification. ate of expiry Proof of Post Off./Un (subject to satisfaction) ard Statement (within I	Remarks (Asset clarification) Date of expiry Driving License M M Y Y iv/Reputed Org on of bank)									
S Nan Branch 1. 2. 3. IDENTIFICATIO (Attach self Government of the self of	of Shivalik Bank ON DETAILS attested copy of or HAAR Card Defence ID Card of Bills @ In Card Licence	Type of A/c Certified Certified	Facility Availed ne Address proof). P PAN Card NREGA JOB d Marriage Certification d Birth Certificate lease Deed	Loan/Limit Availed Amt. Date Since Passport Passport Card Photo ID Form 16	Balance Limit (As on) for verification. ate of expiry Proof of Post Off./Un (subject to satisfacti card Statement (within I	Remarks (Asset clarification) Date of expiry Driving License M M Y Y iv/Reputed Org on of bank)									
S Nan No. Branch 1. 2. 3. IDENTIFICATIO (Attach self Govi	DETAILS attested copy of or The Bills @ The Card The Licence The L	Type of A/c	Facility Availed The Address proof). Property of the Address proof of t	Loan/Limit Availed Amt. Date Since Passport M Credit C Form 16 Bank St AADHA pt, Water Bill with name an	Balance Limit (As on) for verification. ate of expiry D Proof of Post Off,/Un (subject to satisfaction) ard Statement (within I) The control of the con	Remarks (Asset clarification) Date of expiry Driving License M M Y Y iv./Reputed Org on of bank) ast 30 Days) ss Book (with in last 60 Days) Other Doc.# r, pertaining to last two calender months.									
S Nan No. Branch 1. 2. 3. IDENTIFICATIO (Attach self Govi Govi Fass Utility Bills v In case the whom applic	ne of Bank/FI/ of Shivalik Bank DN DETAILS attested copy of or HAAR Card Defence ID Card of Defence ID Car	Type of A/c	Facility Availed ne Address proof). P PAN Card NREGA JOB d Marriage Certification d Birth Certificate ease Deed 0 Card y),LPG connection recei y the Bank as address p may be is staying, it may	Loan/Limit Availed Amt. Date Since lease produce original Passport M Credit C Bank St AADHA pt, Water Bill with name an roof is in the name of some	Balance Limit (As on) for verification. ate of expiry Proof of Post Off./Un (subject to satisfacti ard Statement (within I	Remarks (Asset clarification) Date of expiry Driving License M M Y Y iv./Reputed Org on of bank) ast 30 Days) See Book (with in last 60 Days) Other Doc.#									
S Nan Branch 1. 2. 3. IDENTIFICATIO (Attach self Govi Govi Govi Fass Utility Bills v. In case the whom applic with whom h # Other Docur	DETAILS attested copy of or The Bills @ The Card The Licence The L	Type of A/c Type of A/c	Facility Availed The Address proof). Proceed and Marriage Certification of Birth Certificate ease Deed The Card staying, it may be is staying, it may ening his/her account with the Address proof of the Bank as address proaps be is staying, it may ening his/her account with the Address proof of the Bank as address proaps be is staying, it may ening his/her account with the Address proof of the Bank as address proof of	Loan/Limit Availed Amt. Date Since Date Since	Balance Limit (As on) for verification. ate of expiry D Proof of Post Off./Un (subject to satisfacti ard Statement (within I	Remarks (Asset clarification) Date of expiry Driving License M M Y Y iv/Reputed Org on of bank) ast 30 Days) ss Book (with in last 60 Days) Other Doc.# r, pertaining to last two calender months. and, father/mother and son/daughter with									
S Nan Branch 1. 2. 3. IDENTIFICATIO (Attach self Govi Govi Govi Fass Utility Bills v. In case the whom applic with whom h # Other Docur	of Shivalik Bank ON DETAILS attested copy of or HAAR Card Defence ID Card of Bills @ n Card Licence port z, Electricity Bill, Telep utility bill or any other of ant wife, son daughter e/she is living, that the	Type of A/c Type of A/c	Facility Availed The Address proof). Proceed and Marriage Certification of Birth Certificate ease Deed The Card staying, it may be is staying, it may ening his/her account with the Address proof of the Bank as address proaps be is staying, it may ening his/her account with the Address proof of the Bank as address proaps be is staying, it may ening his/her account with the Address proof of the Bank as address proof of	Loan/Limit Availed Amt. Date Since Date Since	Balance Limit (As on) for verification. ate of expiry D Proof of Post Off./Un (subject to satisfacti ard Statement (within I	Remarks (Asset clarification) Date of expiry Driving License M M Y Y iv/Reputed Org on of bank) ast 30 Days) ss Book (with in last 60 Days) Other Doc.# r, pertaining to last two calender months, and, father/mother and son/daughter with apparied by a declaration from the person									
S Nan Branch 1. 2. 3. IDENTIFICATIO (Attach self Govi Govi Govi Fass Utility Bills v. In case the whom applic with whom h # Other Docur	DETAILS attested copy of or The Bills @ The Card The Licence The L	Type of A/c Type of A/c	Facility Availed The Address proof). Proceed and Marriage Certification of Birth Certificate ease Deed The Card staying, it may be is staying, it may ening his/her account with the Address proof of the Bank as address proaps be is staying, it may ening his/her account with the Address proof of the Bank as address proaps be is staying, it may ening his/her account with the Address proof of the Bank as address proof of	Loan/Limit Availed Amt. Date Since Date Since	Balance Limit (As on) for verification. ate of expiry D Proof of Post Off./Un (subject to satisfacti ard Statement (within I	Remarks (Asset clarification) Date of expiry Driving License M M Y Y iv/Reputed Org on of bank) ast 30 Days) ss Book (with in last 60 Days) Other Doc.# r, pertaining to last two calender months, and, father/mother and son/daughter with apparied by a declaration from the person									

Name													
Address													
Signature					Signature of Ap	•	-LTI and Female RTI)						
						<u> </u>	,						
		CLARATION											
•		ner/guardian appointed	•		,			(Min					
		future transactions of a fit of Minor Son/Daugh					or attains majority and operation ed Minor a/c)	ı in ti					
I hereby authoriz	e my Son/daughter	to open saving banks	OR a/c in his/her na	me and onera	ate the said a/c.	as ner Ba	nks rule. I undertake to indemni	ify th					
•				•			to operate the						
vings Bank a/c. (Se	If Operated Minor a	/c of age 10 years and	above)										
			•	າ)		Signature of the Guardian	n					
ldress :							Signature of the Guardian	_					
CUSTOMER DUE		FO	R OFFICE	USE ONL	_Y								
Ultimate Benefic	ial Owner												
Relationship:	Self	Partner	Prop.		irector	Kar	ta / Co-parcener						
	Secretary	Treasurer	Presiden	t P	OA	Oth	Please Specify	_					
Applicant inte	rviewed and purpos	e of opening of accoun	t ascertained (d	escription)									
					Т	elephon	ic Verification						
	ments Checked ar	d found complete	Yes	No	I confirm tha	t the detai	l of the Customer and						
	axindiaefiling.gov.in.	/e-Filing/Services/	Yes	No	Mobile No.	\bot							
KnowYourJuris For Voter ID C	dictionLink.html ard				nas been ch	ecked and	d found matched as per the CIF						
Https://electora			Yes	No	Emp. Code								
For Aadhaar C Https://uidai.go			Yes	No									
					Date :		Signature						
4. Risk Rating	rameters	Low			Medium		High						
	e (Salaried)	Below 10 Lacs		☐ 10-50 La			Above 50 Lacs						
	ase Specify	Rs					Rs						
	er (Business) ase Specify	Below 50 Lacs		50-300 L Rs	acs		Above 3 Cr.						
Ос	cupation	Students Salaried Employee	es	Doctors Societies	s		Real Estate Jewellers						
		Pensioners Small/Retail trade	r	Compan	ny		Lawyers CA Firms						
		Self employed per					Petrol pump Trust						
		Farmer Retired Emp. (Sr.	Citizen)				Stock Broker						
		Govt. dept./PSUs Schools/Colleges					☐ NGO's ☐ Arms/bullions						
		Local Authorities					PEP						
		Others (Please Specify)		Others (Please	Specify)		Others (Please Specify)	ונ					
Presen	tly Staying In	Own Hous	se	☐ Re	ented House								
	General	SHG/Small & Basics	_		T KA - dt								
=	sk Categorization on should be selected	based on the Highest ris			Medium . For further deta	ails please r	☐ High refer circular on KYC/Risk categoriz	 zation					
Risk Rating		v risk	Medium risk		High risk		S. S. S. S. S. S. T. C. Mon dategoriz						
Allower	d opening the acco	unt Reie	ct (Give Reasor	n)									
Allowed	. sporting the acco	Liveje	(-140 1460301	'/									
We hereby cort	fy that We have per	formed the necessary	due diligence ch	eck on the ou	stomer and four	nd the aust	tomer background satisfactory						

"FORM NO. 60 (See second provision to rule 114B)

Form for declaration to be filed by an individual or a person (not being a company or firm) who does not have permanent account number and who enters into any transaction specified in rule 114 B

1.	First	Name																	2. Date of Birth / Incorporation of Declarant							
	Midd	dle Name																	D	D	М	М	Υ	Υ	Υ	Υ
	Surr	name																								
3.	3. Father's Name (in case of individual) First Name																									
	Midd	dle Name																								
	Surr	name																								
4.	4. Flat/Room No.											5. Floor No.														
6.	Nam	ne of premises										7.	Block Name /No													
8.	Road/Street/Lane											9.	Area/Locality													
10.	Towr	n/City										11.	District 12. State													
13.	3. Pincode 14. Telephone Number									ber	(with	with STD code) 15. Mobile Number														
16.	Amo	ount of transaction	(Rs.)										In case transaction in joint names, numbers of persons													
17.	Date	of Transaction		D		D		VI	M		Υ		Y Y Y involves in the transaction													
19.		e of transaction		Casl		Cl	neque	e [] Ca	ırd		Draft/Banker's Cheque Online transfer Other														
20.	Aadł (if av	haar Number issue /ailable)	ed by	UID	ΑI																					
21.	If ap	plied for PAN and of application and	it is r	not ye	t gen	erate	ed en	ter			D		D	D M		M	Υ		Υ			Υ		Υ	_	
	uale	or application and	acki	IOWIC	ugiii	5111.111	JIIIDE	71																		
22.	If PA the f	N not applied fill e inancial year in wh	estima nich t	ated the ab	otal i	ncom	e (incaction	cludir	ng ind	ome	of s	spou	se, mi	nor c	hild e	tc. as	s per	secti	on 64	of ir	ncon	ne-t	axA	ct,196	61) f	or
	a.	Agricultural incom	me (F	Rs.)																						
	b.	Other than agric				. ,																				
23.	Column and instruction								cument Document Identific code number					ficatio	tion Name and address of the authority issuing the document											
24.	Columns 4 to 13										ımer ode							s of docu	of the authority cument							

(Signature of declarant)

- 1. Before signing the declaration, the declarant should satisfy himself that the information furnished in this form is true, correct ad complete in all respects.

 Any person making a false statement in the declaration shall be liable to prosecution under section 277 of the Income-tax Act, 1961 and on conviction be punishable.
 - (i) In a case where tax sought to be evaded exceeds twenty-five lakh rupees, with rigorous imprisonment which shall not be less than six month but which may extend to seven years and with fine.
- 2. The person accepting the declaration shall not accept the declaration where the amount of income of the nature referred to in item 22b exceeds the maximum amount which is not chargeable to tax, unless PAN is applied for and column 21 is duly filled.